



Receipt

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: 5/01/01

Name: Carol Felenstein

Signature: Carol Felenstein

*Clifford Chance Rogers & Wells LLP*

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Docket No. 3499-91

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Sharon Greener, Mark Higgins, Effie Konstantine  
Anagnostopoulos, Paul Young, Dan Rothman, John  
Godfrey, Mel Gunewardena

Serial No. : 09/714,315

Filed : November 16, 2000

For : **AUTOMATED ONLINE SALES RISK  
MANAGEMENT SYSTEM**

Examiner : n/a Group Art Unit : 2164

Commissioner for Patents  
Washington, DC 20231

RECEIVED  
SEP 06 2001  
Technology Center 2100

**REQUEST TO CORRECT FILING RECEIPT**

Sirs:

Enclosed herewith please find the filing receipt received in the above-identified application which contains an error.

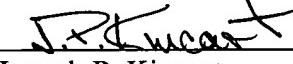
With reference to our Petition Under 37 CFR 1,48(b) to Correct Inventorship dated March 8, 2001, copy enclosed, we requested that the name of Effie Higgins be changed to Effie Konstantine Anagnostopoulos. On the enclosed Filing Receipt it reads Effie Konstantine

Higgins. Please change to Effie Konstantine Anagnostopoulos as requested in our Petition  
Under 37 CFR 1,48(b) to Correct Inventorship dated March 8, 2001.

Continuing Data as Claimed by Applicant is repeated three times on the Filing Receipt.

It is believed that these errors originated in the Patent Office and therefore no fee is required for this correction. However, the Commissioner is hereby authorized to charge any additional fees which may be required in connection with this request, or to credit any overpayment to **Deposit Account No. 50-0521**. A duplicate copy of this document is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Joseph P. Kincart  
Reg. No. 43,716  
Attorney for Applicants  
Telephone: (212) 878-3289

**MAILING ADDRESS**

CLIFFORD CHANCE ROGERS & WELLS LLP  
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200 Park Avenue  
New York, NY 10166



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/714,315	11/16/2000	2164	2662	3499-91	7	59	17

Joseph P Kincart Esq  
Clifford Chance Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10016

FILING RECEIPT



\*OC000000005899837\*

Date Mailed: 03/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Sharon Greener, Rumson, NJ ;  
Mark Higgins, New York, NY ;  
Effie Konstantine Higgins, New York, NY ;  
Paul Young, New York, NY ;  
Dan Rothman, New York, NY ;  
John Godfrey, London, UNITED KINGDOM ;  
Mel Gunewardena, New York, NY ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000  
THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000  
THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000  
WHICH IS A CIP OF 09/526,606 03/16/2000  
AND A CIP OF 09/526,606 03/16/2000  
AND A CIP OF 09/526,606 03/16/2000  
WHICH CLAIMS BENEFIT OF 60/218,184 07/14/2000  
AND CLAIMS BENEFIT OF 60/218,184 07/14/2000  
AND CLAIMS BENEFIT OF 60/218,184 07/14/2000

Foreign Applications

If Required, Foreign Filing License Granted 02/22/2001

Title

RECEIVED  
SEP 06 2001  
Technology Center 2100

Automated online sales risk management

Preliminary Class

705

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Data entry by : GENTRY, CHRISTINE

Team : OIPE

Date: 03/26/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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# FILE COPY

CONFIRMATION NO. 6479



Bib Data Sheet

SERIAL NUMBER 09/714,315	FILING DATE 11/16/2000 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 3499-91
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**APPLICANTS**

Sharon Greener, Rumson, NJ;  
 Mark Higgins, New York, NY;  
 Effie Konstantine Anagnostopoulos, New York, NY;  
 Paul Young, New York, NY;  
 Dan Rothman, New York, NY;  
 John Godfrey, London, UNITED KINGDOM;  
 Mel Gunewardena, New York, NY;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000  
 WHICH IS A CIP OF 09/526,606 03/16/2000  
 WHICH CLAIMS BENEFIT OF 60/218,184 07/14/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 02/22/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	NJ	7	59	17
Verified and Acknowledged	Examiner's Signature <i>Sharon Snapp</i> Initials <i>SS</i>				

**ADDRESS**

Joseph P Kincart Esq  
 Clifford Chance Clifffed Chance Rogers & Wells LLP  
 200 Park Avenue  
 New York ,NY 10016

**TITLE**

Automated online sales risk management

FILING FEE RECEIVED 2662	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

